

Translation

PATENT COOPERATION TREATY

PCT/JP2003/014430



PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY  
(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference PCT03-010	<b>FOR FURTHER ACTION</b> See Form PCT/IPEA/416	
International application No. PCT/JP2003/014430	International filing date (day/month/year) 13 November 2003 (13.11.2003)	Priority date (day/month/year) 26 December 2002 (26.12.2002)
International Patent Classification (IPC) or national classification and IPC F04B 39/12		
Applicant ZEXEL VALEO CLIMATE CONTROL CORPORATION		

1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 4 sheets, including this cover sheet.
3. This report is also accompanied by ANNEXES, comprising:
- a. ☒ (sent to the applicant and to the International Bureau) a total of 2 sheets, as follows:
- ☒ sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).
- ☐ sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.
- b. ☐ (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) \_\_\_\_\_, containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).

4. This report contains indications relating to the following items:

- ☒ Box No. I Basis of the report
- ☐ Box No. II Priority
- ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- ☐ Box No. IV Lack of unity of invention
- ☒ Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- ☐ Box No. VI Certain documents cited
- ☐ Box No. VII Certain defects in the international application
- ☐ Box No. VIII Certain observations on the international application

Date of submission of the demand 12 April 2004 (12.04.2004)	Date of completion of this report 06 January 2005 (06.01.2005)
Name and mailing address of the IPEA/JP	Authorized officer
Facsimile No.	Telephone No.

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/JP2003/014430

Box No. I Basis of the report

1. With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.

- ☐ This report is based on translations from the original language into the following language \_\_\_\_\_, which is language of a translation furnished for the purpose of:
- ☐ international search (under Rules 12.3 and 23.1(b))
  - ☐ publication of the international application (under Rule 12.4)
  - ☐ international preliminary examination (under Rules 55.2 and/or 55.3)

2. With regard to the elements of the international application, this report is based on (replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report):

- ☐ The international application as originally filed/furnished
- ☒ the description:
- pages \_\_\_\_\_ 1-8 \_\_\_\_\_, as originally filed/furnished
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- ☒ the claims:
- pages \_\_\_\_\_ 6,8-13 \_\_\_\_\_, as originally filed/furnished
- pages\* \_\_\_\_\_, as amended (together with any statement) under Article 19
- pages\* 1-3,5,7 received by this Authority on 21 July 2004 (21.07.2004)
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- ☒ the drawings:
- pages \_\_\_\_\_ 1-5 \_\_\_\_\_, as originally filed/furnished
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- ☐ a sequence listing and/or any related table(s) – see Supplemental Box Relating to Sequence Listing.

3. ☒ The amendments have resulted in the cancellation of:

- ☐ the description, pages \_\_\_\_\_
- ☒ the claims, Nos. \_\_\_\_\_ 4 \_\_\_\_\_
- ☐ the drawings, sheets/figs \_\_\_\_\_
- ☐ the sequence listing (specify): \_\_\_\_\_
- ☐ any table(s) related to sequence listing (specify): \_\_\_\_\_

4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

- ☐ the description, pages \_\_\_\_\_
- ☐ the claims, Nos. \_\_\_\_\_
- ☐ the drawings, sheets/figs \_\_\_\_\_
- ☐ the sequence listing (specify): \_\_\_\_\_
- ☐ any table(s) related to sequence listing (specify): \_\_\_\_\_

\* If item 4 applies, some or all of those sheets may be marked "superseded."

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

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**Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

## 1. Statement

Novelty (N)	Claims	1-3, 5-13	YES
	Claims		NO
Inventive step (IS)	Claims	2	YES
	Claims	1, 3, 5-13	NO
Industrial applicability (IA)	Claims	1-3, 5-13	YES
	Claims		NO

## 2. Citations and explanations (Rule 70.7)

Document 1: JP, 2000-274369, A (Sumitomo Heavy Industries, Ltd.), 3 October, 2000 (03.10.00)  
Document 2: JP, 11-93841, A (Hitachi, Ltd.), 6 April, 1999 (06.04.99)  
Document 3: JP, 63-77200, U (K.K. Ishii Tekkosho), 23 May, 1988 (23.05.88)  
Document 4: JP, 2-277743, A (Nippon Steel Corp.), 14 November, 1990 (14.11.90)  
Document 5: WO, 2002-010593, A1 (Werner Rietschle GmbH + Co. KG), 7 February, 2002 (07.02.02)  
Document 6: JP, 2001-279322, A (Iwate Prefecture), 10 October, 2001 (10.10.01)  
Document 7: JP, 2001-20390, A (Osaka Gas Co., Ltd.), 24 July, 2001 (24.07.01)  
Document 8: JP, 2002-371348, A (K.K. Tanaka), 26 December, 2002 (26.12.02)  
Document 9: JP, 2002-322547, A (Taiheiyo Cement Corp.), 8 November, 2002 (08.11.02)  
Document 10: JP, 2002-364539, A (Sanden Corp.), 18 December, 2002 (18.12.02)

The subject matters of claims 1, 3, 5 and 6 do not appear to involve an inventive step in view of documents 1-3 cited in the ISR. Documents 1-3 describe a compressor having a housing, wherein the bottom plane of the said housing has the shape of R and the largest diameter of the R-shaped bottom plane is equal to the inner diameter of the inner peripheral surface of the said housing. The size of such R shape is a matter of design variation for a person skilled in the art. Materials that meet desired characteristics could be selected from among known materials as required.

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Supplemental Box

In case the space in any of the preceding boxes is not sufficient.  
Continuation of: V

The subject matter of claim 2 is neither described in any of the documents cited in the ISR nor obvious to a person skilled in the art.

The subject matters of claims 7 and 8 do not appear to involve an inventive step in view of documents 1-6. Document 4 cited in the ISR describes that cast iron may be considered in the selection of pressure-resistant materials. Document 5 newly cited describes a pump housing wherein cast iron is used.

Document 6 newly cited describes that cast iron is austempered into bainite.

The subject matters of claims 9 and 10 do not appear to involve an inventive step in view of documents 1-3, 7 and 8. Document 7 newly cited describes that a titanium alloy is used for members for a compressor. Document 8 newly cited describes that a titanium alloy is put to solution heat treatment and aging treatment.

The subject matters of claims 11 and 12 do not appear to involve an inventive step in view of documents 1-3 and 9. Document 9 newly cited describes powder metallurgy and forging as a forming method.

The subject matter of claim 13 does not appear to involve an inventive step in view of documents 1-3 and 10. Document 10 newly cited describes that carbon dioxide is used as the refrigerant.